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PTO/SB/01 (10-00)

Approved through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

☐ Declaration Submitted With Initial Filing  
OR  
☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number	270/096
First Named Inventor	Hsu et al.
<b>COMPLETE IF KNOWN</b>	
Application Number	10/072,629
Filing Date	February 8, 2002
Group Art Unit	Unknown
Examiner Name	Unknown

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**STRUCTURES THAT CORRECT FOR THERMAL DISTORTION IN AN OPTICAL  
DEVICE FORMED OF THERMALLY DISSIMILAR MATERIALS**

the specification of which (Title of the Invention)

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) **February 8, 2002** as United States Application Number or PCT International

Application Number **10/072,629** and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY) Country	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
NONE			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
60/233,672 60/241,762	Sep. 19, 2000 Oct. 20, 2000	

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# DECLARATION — Utility or Design Patent Application

Direct all correspondence to:		<input checked="" type="checkbox"/> Customer Number or Bar Code Label		22249		OR		<input type="checkbox"/> Correspondence address below	
Name		LYON & LYON LLP							
Address		Suite 4700, 633 West Fifth Street							
City				State		ZIP			
Los Angeles				CA		90071			
Country			Telephone			Fax			
United States of America			949-567-2300 OR 213-489-1600			949-567-6600 OR 213-955-0440			
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.									
NAME OF FIRST OR SOLE INVENTOR:						<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])						Family Name or Surname			
Ying Wen						Hsu			
Inventor's Signature *						Date			
						March *16, 2002			
Residence: City			State		Country		Citizenship		
Huntington Beach			CA		USA		USA		
Mailing Address 6455 Frampton Circle									
City			State		ZIP		Country		
Huntington Beach			CA		92648		USA		
NAME OF SECOND INVENTOR:						<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])						Family Name or Surname			
Norlito						Baytan			
Inventor's Signature *						Date			
						March *18, 2002			
Residence: City			State		Country		Citizenship		
Anaheim			CA		USA		USA		
2421 East Normandy Drive									
Mailing Address									
City			State		Zip		Country		
Anaheim			CA		92806		United States of America		
NAME OF THIRD INVENTOR:						<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])						Family Name or Surname			
Shauhwa						Cuan			
Inventor's Signature *						Date			
						March *16, 2002			
Residence: City			State		Country		Citizenship		
Irvine			CA		USA		USA		
31 Preston									
Mailing Address									
City			State		Zip		Country		
Irvine			CA		92618		United States of America		

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PTO/SB/81 (02-01)

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# **POWER OF ATTORNEY OR AUTHORIZATION OF AGENT**

Application Number	10/072,629
Filing Date	February 8, 2002
First Named Inventor	Ying Wen Hsu et al.
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	270/096

I hereby appoint:

☒ Practitioners at Customer Number

22249



22249

☒ Please direct correspondence to practitioner(s) named below:

Name	Registration Number
David E. Wang	38,358

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☒ The above-mentioned Customer Number.

OR

☐ Practitioners at Customer Number

22249

Place Customer  
Number Bar Code  
Label here☒ Firm or  
Individual Name

Lyon &amp; Lyon, LLP

Address Suite 4700

Address 633 West Fifth Street

City Los Angeles

State CA

ZIP 90071

Country USA

Telephone 949-567-2300 or 213-489-1600

Fax 949-567-6600 or 213-955-0440

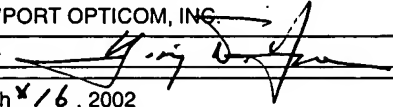
I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Certificate under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

## **SIGNATURE of Applicant or Assignee of Record**

Name NEWPORT OPTICOM, INC.

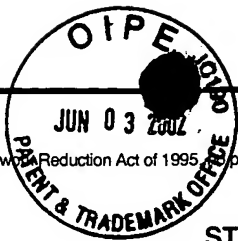
Signature By:  Ying Wen Hsu, President

Date March 16, 2002

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.

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PTO/SB/96 (08-00)  
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Atty Docket No. 270/096.

### STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Newport Opticom, Inc.

Application No./Patent No.: 10/072,629

Filed/Issue Date: February 8, 2002

Entitled: STRUCTURES THAT CORRECT FOR THERMAL DISTORTION IN AN OPTICAL DEVICE FORMED OF THERMALLY DISSIMILAR MATERIALS

Newport Opticom, Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

March 16, 2002

Date

Signature

Ying Wen Hsu

Typed or printed name

President

Title

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